JAP15 Rec'd PCT/PTO 12 DEC 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| 7 | RAN | SMITTAL LETTER TO THE UN | ITED STATES | ATTORNEY'S DOCKET NUMBER | | | | | |
|--|---|---|--|---|---|--|--|--|--|
| | | SIGNATED/ELECTED OFFICE | | 095309.57510US | | | | | |
| CC | | RNING A SUBMISSION UNDE | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | |
| | | | INTERNATIONAL FILING | <u></u> | 10/573,253 | | | | |
| INTERNATIONAL APPLICATION NO. PCT/EP04/09334 | | | INTERNATIONAL FILING DATE August 20, 2004 | | PRIORITY DATE CLAIMED September 23, 2003 | | | | |
| TITLE | OF IN | VENTION | Augus | 10, 2004 | Geptember 23, 2003 | | | | |
| Internal High Pressure Forming Installation | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | |
| Helmut AUGUSTIN, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. | | | | | mig nome and other micrimation. | | | | |
| 2. | \boxtimes | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. | | | | | (f)). The submission must include items (5), (6), (9) | | | | |
| | _ | and (21) indicated below. | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | (e), (e), | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | |
| 5. | | A copy of the International Application | as filed (35 U.S.C. 371(| c)(2)) | | | | | |
| | | a. is attached hereto (required | d only if not communicat | ed by the Internationa | l Bureau). | | | | |
| | | b. has been communicated by the International Bureau. | | | | | | | |
| | | c. Is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | |
| | | a. is attached hereto. | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | | Amendments to the claims of the Intern | national Application unde | er PCT Article 19 (35 | J.S.C. 371(c)(3)) | | | | |
| | | a. are attached hereto (requir | ed only if not communic | ated by the Internation | nal Bureau). | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s | s) (35 U.S.C. 371(c)(4)). | (Two (2) Pages) | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| | Items | s 11 to 20 below concern document(s) | | | | | | | |
| 11. | | An Information Disclosure Statement u | inder 37 CFR 1.97 and 1 | 1.98. | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | \boxtimes | Other items or information: Notification of Missing Requirements dated November 8, 2006 | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 10/573,253 PCT/EP04/09334 095309.57510US CALCULATIONS The following fees have been submitted PTO USE ONLY Basic national fee (37 C.F.R. §1.492(a))\$300.00 21. Examination fee (37 C.F.R. §1.492(c)) 22. П If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy \$ All other situations......\$200.00 Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to All other situations.....\$500 \$ TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) / 50 = \$ - 100 x 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$ CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims - 20 50 \$ x Independent claims - 3 x 200 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) 360 \$ TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ TOTAL FEES ENCLOSED = \$ Amount to be refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.57510US) in the amount of \$ to cover the above fees A duplicate copy of this sheet is enclosed. M The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit C. Account No. 05-1323 (Attorney Docket No. 095309.57510US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not d. be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Mark H. Neblett

Washington, D.C. 20044-4300 Tel. No. (202) 624-2500 Fax No. (202) 628-8844

NAME 42,028 REGISTRATION NUMBER

December 12, 2006

FORM PTO-1390 (REV. 02-2005)

MHN/ana



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vrginia 22313-1450 www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY | Y. DOCKET NO. |
|-----------------------------|-----------------------|------------------|---------------|
| 10/573,253 | Helmut Augustin | 0953 | 09.57510US |
| | . [| INTERNATIONAL AP | PLICATION NO. |
| | | PCT/EP04/09334 | |
| 23911 | | I.A. FILING DATE | PRIORITY DATE |
| CROWELL & MORING LLP | | 08/20/2004 | 09/23/2003 |

INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

CONFIRMATION NO. 5031 371 FORMALITIES LETTER *OC000000021158243*

Date Mailed: 11/08/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2006
- English Translation of the IA filed on 03/23/2006
- Copy of the International Search Report filed on 03/23/2006
- Copy of IPE Report filed on 03/23/2006
- Copy of Annexes to the IPER filed on 03/23/2006
- English Translation of Annexes to the IPE filed on 03/23/2006
- Preliminary Amendments filed on 03/23/2006
- Information Disclosure Statements filed on 03/23/2006
- Request for Immediate Examination filed on 03/23/2006
- U.S. Basic National Fees filed on 03/23/2006
- Substitute Specification filed on 03/23/2006
- Priority Documents filed on 03/23/2006
- Specification filed on 03/23/2006

| opcomodici mod on corzorzoco | |
|---|-----------|
| Claims filed on 03/23/2006 | Dockeling |
| Abstracts filed on 03/23/2006 | Attorney |
| Drawings filed on 03/23/2006 | Jocket # |
| | I.e Dille |
| | ACLON |

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/573,253 | PCT/EP04/09334 | 095309.57510US |

FORM PCT/DO/EO/905 (371 Formalities Notice)